ALABAMA STATE PORT AUTHORITY MCDUFFIE COAL TERMINAL DEPARTMENT

DATE: 4/29/2022 **ORIGINATING DEPT NO. UPON REQUEST**

TO: Prospective Vendors Please **procure** the following and **DELIVER TO**:

ALABAMA STATE PORT AUTHORITY MCDUFFIE COAL TERMINAL OFFICE 1901 EZRA TRICE BLVD MOBILE, AL 36603

NAMES OF ARTICLES, SPECIFICATIONS AND PURPOSE

The Alabama State Port Authority McDuffie Terminal will be accepting proposals on the following or approved equal. Direct all technical questions to Scott McAfee @ 251-441-7510 or 251-422-1801.

ASPA reserves the right if needed to increase or decrease this order for the following 24 months from when this bid is awarded without going out for bid if the vendor agrees to the same price and conditions set forth in this bid.

Must have minimum 2 year warranty.

Must have a certified service dealer within 25 miles of ASPA.

Name & location of certified service dealer:

NEW CAT 279D3 COMPACT TRACK LOADER OR EQUIVALENT

Must meet specifications on Pages 2 - 8.

QTY	MAKE	MODEL	YEAR	UNIT PRICE	EXT. PRICE
2		\$	\$		
TIMBER PACKAGE				\$	\$
TOTAL PRICE				\$	\$
DELIVERY TIME ARO WEEKS					
Does the machine have a one-piece sealed & pressurized cab?			YES	NO	
Does price include an 80" bucket and a single point lifting hook on cab?			YES	NO	
Do your service technicians already have ASPA credentials?			YES	NO	
How long is the warranty?			N	IONTHS	

All shipping and handlings charges must be included in your unit price.

Company Name: _____

Authorized Signature: Print Name:

The Alabama State Port Authority reserves the right to reject any and all bids.

Leadtime will be a factor in awarding of bids.

Pricing must remain valid for a minimum of 45 days.

Bid Opening is 4/29/2022 at 10am. in the McDuffie Conference Room

Bids must be labeled: New Compact Track Loader - 4/29/2022

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n (450 mm) wide tracks. on ground and 117.9 in (2995 mn 50mm) wide tracks. 26 mm). tage V emissions standards. ssions technology which captures have four cylinders.
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have four cylinders.
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ndary backup, as well as a I be designed to accept an externa
cacked on top or below the
ooling capacity of 109 degrees F
usted through the top of the
aulic motor that is demand driven en fan is active it must maintain ngine to lug.
tomatically destrokes the hystat
ss for easy diagnostics.
e infiltration.

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e speed to a task. The ired. Hand throttle placed in the High Idle
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while minimizing engine

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STEERING	
YN	The machine shall be equipped with a single, electrically operated joystick that controls the forward/reverse and steering directions.
YN	Machine must be equipped with a selectable pattern control changer to operate in either "ISO" Pattern or "H" Pattern.
YN	Machine shall have an air ride seat with seat mounted / adjustable joystick controls.
YN	Full turning radius from the center/coupler without a bucket shall be no greater than 55.5 in (1410 mm).
BRAKES	
YN	Machine shall have a spring applied, hydraulically released parking brake that shall automatically engage when arm bar is raised, and shall be designed to hold machine static at any point on a 2 to 1 slope.
HYDRAULIC SY	/STEMS
Y N	The machine shall be equipped with a single, electrically operated joystick that controls the lift, lower and tilt functions.
YN	The hydraulic pumps shall be driven directly off the engine for maximum hydraulic performance and reliability-no belts shall be used.
Y N	The Standard pump flow of the machine shall be at least 23 gal/min (86 L/min).
YN	The Standard pump flow hydraulic pressure of the machine shall be at least 3,335 psi (23,000 kPa).
YN	The Standard pump flow / hydraulic horsepower of the machine shall be at least 44.0 hp (33.0 kW).
YN	Machine shall be equipped with auxiliary hydraulics activated by a thumb switch located on the right joystick.
YN	The machine shall be equipped with a hydraulic tank that has an anti-cavitation feature.
YN	The machine shall be equipped with a hydraulically driven fan, eliminating maintenance costs and friction losses associated with a belt.
YN	Dual, variable-displacement, axial piston pumps shall be driven off the engine and provide hydraulic flow to the drive motors via a closed loop system.
YN	Machine shall be equipped with abrasion resistant Tough Guard hoses and O-ring face seals help assure a leak free system.
Y N	Standard auxiliary hydraulics to power tools shall be available through self-relieving quick connect hydraulic couplings that are rigidly mounted to the loader arm.
YN	The drive and implement hydraulic pumps shall be electrically actuated and may not be actuated via any mechanical linkages.
Company Nam	ne:
Authorized Sig	nature: Print Name:

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AXLES				
YN	Machine shall have 4 heavy-duty torsion axles to suspend each side of the undercarriage from the machine frame and shall be shock absorbing.			
	The track shall consist of molded rubber with embedded steel bars that span the width of the track.			
YN	The track shall consist of molded rubber with embedded steel bars that span the width of the track.			
YN	not stretch.			
Y N	The undercarriage shall not be directly mounted to the machine frame, but shall instead be suspended via four independent torsion axles in order to minimize machine stresses and maximize traction.			
YN	The undercarriage shall contain single flange steel rear idlers in order to reduce material buildup and improve clean out.			
YN	The undercarriage shall contain dual flange steel front idlers in order to provide a smoother ride.			
YN	An optional undercarriage configuration shall be available that provides front and rear triple flange steel idlers.			
YN	Machine ground pressure shall not exceed 4.6 psi (31.7 kPa) when equipped with 450mm wide tracks, rear counterweights, and triple flange idlers.			
YN	Overall length of track shall be 83.8 in (2129 mm).			
YN	Length of track on the ground shall be 64.2 in (1630 mm).			
YN	Machine weight shall be evenly distributed across the entire width and length of the track via multiple sets of rollers to disperse pressure, and maximize traction and flotation.			
YN	Undercarriage shall consist of an open design that allows easy cleaning of components.			
YN	Elevated positive track shall keep drive components away from ground debris.			
OPERATOR ST	ATION			
Y N	The machine shall be equipped with deep skid-resistant, self-cleaning steps.			
YN	The machine shall be equipped with an High Back, Heated, "Air Ride" Suspension Seat with lumbar and recline adjustments.			
YN	The machine shall have a one-piece sealed & pressurized cab design.			
YN	The machine shall be equipped with both a hand and a foot throttle.			
YN	The machine shall be equipped with adjustable boom / bucket response control, adjustable drive response control as well as travel speed control (creeper).			
YN	The machine shall be equipped with a single, electrically operated joystick that controls the lift, lower and tilt functions.			
YN	The machine shall have a retractable high visibility seat belt.			
Company Nam	ne:			
Authorized Signature: Print Name:				

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b remove. achine shall have a heater coil rated capacity of at least 23,518 Btu (5930 kcal). achine shall have audible warning alarms for engine coolant temperature, engine oil pressure draulic oil temperature. hes equipped with a door shall be equipped with a hydraulic lockout mechanism that prevents arm movement with door in open position. he shall be equipped with a convex, rearview mirror for superior visibility to the rear and sides of chine. he shall have removable side windows that require no use of tools to remove for easy cleaning. ndows shall have two sliding panes, front and rear, to provide protection from the elements pow fresh air ventilation. he shall be equipped with 2 adjustable, cab mounted front LED working lights and 2 frame ed, rear LED working lights.		
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Air conditioning condenser shall not be mounted on any other cooling core(s), and have independent		
ditioning condenser shall not be mounted on any other cooling core(s), and have independent cal cooling fans, separate from the main cooling fan.		
ichine shall have an 5 inch, full color, LCD display with video capability.		
ichine shall have up to 50 individual security codes where the operator preferences are retained h code. The master code provides the capability to monitor each individual code/operator for age, hours of operation, as well as events and diagnostics.		
ichine display shall provide on screen adjustment capability for creep setting, ride control ion speed, top speed limit setting, Engligh/Metric units, choice of 6 languages, choice of 4 punds, and choice of 4 gauge styles.		
ne shall have a rear view camera.		
chine shall be equipped with Speed Sensitive Ride Control, which engages and disengages at called at called at a		
Machine shall have cellular based tracking providing machine hours and machine location.		
e shall come standard with an Anti-theft Security System with a 6 button keypad.		
Machine shall have Bluetooth capability and Microphone integrated with an AM/FM/Weather Band Radio with USB and Auxiliary Input Jack.		

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LOADER LIN	KAGES / STRUCTURES
Y N	Loader linkage shall be of a radial lift style.
Y N	Loader linkage shall be equipped with a split style D-ring for securing work tool auxiliary hoses.
Y N	The pins shall have countersunk grease fittings to protect them from damage.
Y N	The machine shall be equipped with two tilt cylinders, protected by a heavy-duty torque tube.
YN	The machine shall have a tipping capacity of at least 6345 lbs. (2885 kg).
Y N	Rated operating capacity at 35% tipping load shall be no less than 2220 lb. (1010 kg).
Y N	Rated operating capacity at 50% tipping load shall be no less than 3170 lb. (1445 kg).
Y N	Rated operating capacity with counterweight shall be no less 3390 lb. (1540 kg).
Y N	The machine shall have a dump angle of at least 39.0° for superior bucket cleanout.
Y N	The machine shall have an arched transition to the coupler for increased dump clearance.
YN	Radial lift linkage shall provide visibility under the loader arm to left & right sides when bucket is in the carry position.
YN	Cantilevered pins in the loader linkage shall be forged and induction hardened, and shall be the same diameter all the way through using a flagged end to secure them to one side, allowing them to rotate in the corresponding bore.
YN	The machine shall have a maximum hinge pin height of at least 124.9 in (3173 mm).
YN	Reach at maximum lift and dump shall be at least 22.6 in (575 mm).
YN	Clearance at maximum lift and dump shall be at least 97.8 in (2485 mm).
YN	_ Rack back angle at maximum height shall be 84.0 degrees.
YN	_ Maximum reach with arms parallel to the ground shall be 54.0 in (1373 mm).
YN	_ Tilt cylinder breakout force shall be at least 7285 lb. (3304 kg).
YN	_ Lift cylinder breakout force shall be at least 5973 lb. (2709 kg).
YN	Electronic work tool return to dig feature and electronic work tool positioner shall be available.
YN	_ Electronic Self Level (raise only) with electronic lift cycle snubbing shall be available.
WORK TOOL	OPTIONS
Y N	Machine shall have a universal coupler interface to easily change work tools.
YN	Coupler shall have the following features: a low profile side plate designed for optimum match to work tools and minimal material packing, opposing wedges to ensure a tight tool fit, large contact areas to absorb loads and minimize stress, chrome plated and lubricated vertical pins for smooth engagement and prevention of corrosion.
YN	The quick coupler shall be activated from inside the cab to allow engagement and disengagement without needing the operator to exit the machine.
YN	The machine shall have self-relieving auxiliary quick disconnects that connect under pressure for easier connection to work tools
YN	80 " General Purpose Bucket
YN	_ Machine shall be equipped with 2 sets of External Counterweights totaling 250lbs
Y N	Single Point Lifting Hook Mounted on Top of Cab
Company Na	ame:
Authorized S	ignature: Print Name:
	New Compact Track Loader

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Valantenance points shall be grouped in easily accessible, ground level locations. The machine shall be equipped with grouped filter configuration with fuel/water separator filter and hydraulic oil filter being cartridge style. The engine shall be mounted lengthwise for better accessibility. The radiator and hydraulic oil cooler shall be protected by a guard/grill which shall tilt up to gain access to he cores. The machine shall be equipped with sight gauges on the hydraulic tank and the radiator. The machine shall be equipped with standard system pressure and fluid analysis test ports. The machine shall have an electronic port allowing electronic machine diagnostics. The machine shall have a cab that tilts to the rear by an individual using hand tools and shall expose all bumps, motors, valves and lines. Cab shall be held in place when tilted by a self-latching mechanism. The machine shall not have any required daily maintenance points that require lifting of the cab structure. Vlachine shall have a vented, steel, rear door, with a bumper that slightly protrudes beyond the door, in order to prevent damage to engine compartment components. The rear door shall open to provide for easy access to both sides of the engine without the need for hand cols. A removable belly pan section in the floor of the machine's lower frame shall provide excellent access for beriodic cleaning Ulf filters shall be easily accessible from the ground, which makes routine service quicker and virtually spill ree. CE FILL CAPACITIES The machine shall have a hydraulic oil tank capacity of at least 10.3 gal (39 L). Hydraulic system shall hold 13.7 gal (52 L). Evel tank shall hold 27.7 gal (105 L). Engine crankcase shall hold 3.0 gal (11 L). Engine cooling system shall hold 3.7 gal (14 L). EVERTING COSTS	
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Engine cooling system shall hold 3.7 gal (14 L).	
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PERATING COSTS	
Extended life coolant shall be standard	
The machine shall have recommended 500-hour engine oil change intervals.	
The machine shall be equipped with hydraulic oil that can achieve 6000 hour life with regular oil sampling and recommended filter changes.	
The machine shall have recommended 500-hour engine oil change intervals. The machine shall be equipped with hydraulic oil that can achieve 6000 hour life with regular oil s	

ALABAMA STATE PORT AUTHORITY MCDUFFIE COAL TERMINAL DEPARTMENT

DATE: 4/29/2022 ORIGINATING DEPT NO.

TO: Prospective Vendors Please procure the following and **DELIVER TO**:

ALABAMA STATE PORT AUTHORITY MCDUFFIE COAL TERMINAL OFFICE 1901 EZRA TRICE BLVD MOBILE, AL 36603

NAMES OF ARTICLES, SPECIFICATIONS AND PURPOSE

Bid opening will be April 29, 2022 @ 10:00 a.m. in the McDuffie Terminal Conference Room. <u>NO BIDS WILL BE ACCEPTED AFTER THIS TIME.</u>

PLEASE SEE ATTACHED INDEMNIFICATION SHEET & DISCLOSURE STATEMENT

Company

Address

Representative

Phone Number

Email

ALL BIDS MUST BE NOTARIZED

STATE OF _____)

COUNTY OF _____)

On this ____ day of ____, 20____, before me appeared ______, to me personally known, who, being by me duly sworn, did say that such person executed the foregoing instrument as the free act and deed of such person, having been duly authorized to execute such instrument in such capacity.

IN WITNESS WHEREOF, I have hereunto set my hand and official seal.

Notary Public, State of _____

Print Name My commission expires: _____

THIS PAGE MUST BE NOTARIZED

ALABAMA STATE PORT AUTHORITY MCDUFFIE COAL TERMINAL DEPARTMENT

TO: Prospective Vendors Please procure the following and **DELIVER TO**: DATE: 4/29/2022 ORIGINATING DEPT NO.

ALABAMA STATE PORT AUTHORITY MCDUFFIE COAL TERMINAL OFFICE 1901 EZRA TRICE BLVD MOBILE, AL 36603

NAMES OF ARTICLES, SPECIFICATIONS AND PURPOSE



Alabama State Docks/Port Authority

Bid Description: New Compact Track Loader

Bids to be Open: 4/29/2022

The following paragraph shall be considered a part of the above referenced bid:

Indemnification Clause

The Contractor shall assume all liability for and shall indemnify and save harmless the **State of Alabama** and the **Alabama State Docks/Port Authority** and its officers and employees from all damages and liability for injury to any person or persons, and injury to or destruction of property, including the loss of use thereof, by reason of an accident or occurrence arising from operations under the contract, whether such operations are performed by himself or by any subcontractor or by anyone directly or indirectly employed by either of them occurring on or about the premises, or the ways and means adjacent during the term of the contract, or any extension thereof, and shall also assume the liability for injury and/or damages to adjacent or neighboring property by reason of work done under the contract.

ALABAMA STATE PORT AUTHORITY MCDUFFIE COAL TERMINAL DEPARTMENT

TO: Prospective Vendors Please procure the following and **DELIVER TO**: DATE: 4/29/2022 ORIGINATING DEPT NO.

ALABAMA STATE PORT AUTHORITY MCDUFFIE COAL TERMINAL OFFICE 1901 EZRA TRICE BLVD MOBILE, AL 36603

Please use this as a **<u>guide only</u>** for proper delivery.

Sender Name & Address

Alabama State Port Authority McDuffie Coal Terminal Postal Address for US Mail Physical Address for Courier Service

Sealed Bid: (Description) Bid Opening Date:

ALABAMA STATE PORT AUTHORITY MCDUFFIE COAL TERMINAL DEPARTMENT

DATE: 4/29/2022 ORIGINATING DEPT NO.

TO: Prospective Vendors Please procure the following and **DELIVER TO**:

ALABAMA STATE PORT AUTHORITY MCDUFFIE COAL TERMINAL OFFICE 1901 EZRA TRICE BLVD MOBILE, AL 36603

NAMES OF ARTICLES, SPECIFICATIONS AND PURPOSE

Please return one copy of this bid, duly signed, by 10:00 a.m., April 29, 2022.

When all bids are publicly opened, UNIT PRICES AND EXTENSIONS shall be entered opposite each item above on which you are prepared to bid for delivery <u>FREE OF CHARGE</u> TO -McDuffie Coal Terminal (FOB) ALABAMA STATE DOCKS

No consideration will be given bids unless on this form or a written attachment. If not prepared to submit a bid, please state over the firm signature and return so that it may be known it was brought to your attention; otherwise your name may be dropped form the list of prospective vendors.

The right is reserved to reject any and all bids deemed for the interest of the Alabama State Port Authority, to strike out any item or items in the bids, and to waive any defect or irregularly not a violation of law or to make any modification in the several conditions herein stipulated that are deemed to be in the best interest of the Alabama State Port Authority, including the separation of items of a class in making awards. In general, awards will be made to the lowest satisfactory vendor.

No Allowance will be made for errors, either of omission or commission, on the part of the vendors. It must be assumed that vendors have fully informed themselves as to all conditions, requirements, and specifications before submitting bids and they cannot expect to be excused or relieved from the responsibility assumed by their bids on the plea of error. In case of error in extension of prices the unit price will govern.

The Port Authority reserves the right to refuse to issue a bid form or a contract to a prospective vendor for any of the following reasons:

- a) Failure to pay, or satisfactorily settle, all bills due for labor and materials on a former contract in force with the Port Authority.
- b) Contractor default under a previous Contract with the Port Authority.
- c) Bid withdrawal or Bid Bond forfeiture on a previous project with the Port Authority.
- d) Unsatisfactory work on a previous contract with the Port Authority.

Port Authority may make such investigations as deemed necessary to determine the ability of the vendor to perform the work, and the vendor shall furnish all such information and data for this purpose as the Port Authority may request. The Port Authority reserves the right to reject any bid if the evidence submitted by, or investigation of, such vendor fails to satisfy the Port Authority that such vendor is properly qualified to carry out the obligations of the Contract.

ALABAMA STATE PORT AUTHORITY MCDUFFIE COAL TERMINAL DEPARTMENT

DATE: 4/29/2022 ORIGINATING DEPT NO.

TO: Prospective Vendors Please procure the following and **DELIVER TO**:

ALABAMA STATE PORT AUTHORITY MCDUFFIE COAL TERMINAL OFFICE 1901 EZRA TRICE BLVD MOBILE, AL 36603

NAMES OF ARTICLES, SPECIFICATIONS AND PURPOSE

ALABAMA STATE PORT AUTHORITY ACCESS POLICY

IMPORTANT NOTICE TO VENDORS REGARDING ACCESS TO ASPA RESTRICTED FACILITIES:

Successful vendors requiring access to the Alabama State Port Authority's Restricted Facilities to fulfill any obligations set forth in this bid must comply fully with the Authority's Access Policy found in its entirety at

http://www.asdd.com/portaccess_policy.html The Port Authority's Access Policy requires all persons permanently employed at the port, including ASPA staff, tenants and their employees, surveyors, agents, stevedores, longshoremen, chaplains, contract labor and persons requesting temporary access to the port, including delivery persons, vendors, contractors, and temporary workers must obtain and display an ASPA issued photo ID badge or visitor badge at all times when accessing or working on port property. In order to obtain an ASPA credential, applicants must also obtain a Transportation Worker Identification Credential (TWIC) and undergo mandatory Security Awareness Training. Information on the TWIC can be found at http://www.asdd.com/portaccess_twicregs.html

Information on Security Awareness Training classes and scheduling can be found at http://www.asdd.com/portaccess_securitytraining.html

ALL PROSPECTIVE VENDORS ARE ENCOURAGED TO REVIEW THESE POLICIES AND CONSIDER THESE REQUIREMENTS IN PREPARING BID SUBMISSIONS.

INSTRUCTIONS FOR VENDORS ALABAMA STATE PORT AUTHORITY

This instruction sheet is provided as a guide to facilitate the bid process and highlight important points for consideration by vendors. Each vendor is responsible for fully reading and complying with the instructions on the Alabama State Port Authority bid form. This instruction sheet is to be used as a guide only.

- 1. No consideration will be given to bids unless presented on the Alabama State Port Authority "Requisition & Proposal" Form with suitable attachments as deemed necessary by the vendor. Alternate bid proposals may be rendered, with proper support.
- 2. If your company is not prepared to submit a bid, so state and sign to avoid being deleted from the prospective vendor's list.
- 3. The Alabama State Port Authority reserves the right to reject any and all bids if deemed in the Alabama State Port Authorities' interest.
- 4. No allowance will be made for error, either omission or commission.
- 5. Unit price governs in errors relating to extension of prices.
- 6. <u>Bids will not be accepted via FAX or EMAIL.</u> All bids must be sealed and sent via mail or hand delivered to the McDuffie Coal Terminal Office before the specified date and time.
- 7. The Alabama State Port Authority accepts no responsibility for facilitating the receipt of bids.
- 8. <u>All bids must be notarized.</u>
- 9. Bids received after specified opening time will be returned to the vendor unopened. Vendors are requested to show a return address on the bid envelope.
- 10. Bids must be filled out completely, including the name, address, telephone number, fax number (if possible) and signature of responsible person.
- 11. **Questions may be directed to** the Alabama State Port Authority McDuffie Coal Terminal, Dillon Sims, **at (251) 441-7676**.
- 12. **All hand delivered bids** must be tendered to Alabama State Port Authority, McDuffie Coal Terminal Office 1901 Ezra Trice Blvd., Mobile AL 36603, at or before specified time.
- All regular U.S. Postal Mail must be tendered to: ASPA - McDuffie Coal Terminal ATTN: Dillon Sims P.O. Box 1588 Mobile, AL 36633-1588

NOTE: THIS METHOD MUST BE MAILED AT LEAST A WEEK BEFORE THE OPENING. PLEASE IDENTIFY YOUR BID PACKAGE!!

- All courier / overnight deliveries (UPS, FEDEX, etc.) must be delivered to:

 ASPA McDuffie Coal Terminal
 ATTN: Dillon Sims
 1901 Ezra Trice Blvd.
 Mobile, AL 36603
- 15. Note: all overnight bids must be FIRST PRIORTY OVERNIGHT. (8:30 A.M. NEXT MORNING)
- 16. <u>Notarization not required on "SALE" bids.</u>
- 17. Please specify the purchase order number (when available) on envelope.



State of Alabama

Disclosure Statement

Required by Article 3B of Title 41, Code of Alabama 1975

ENTITY COMPLETING FORM
ADDRESS
CITY, STATE, ZIP TELEPHONE NUMBER
STATE AGENCY/DEPARTMENT THAT WILL RECEIVE GOODS, SERVICES, OR IS RESPONSIBLE FOR GRANT AWARD
ADDRESS
CITY, STATE, ZIP TELEPHONE NUMBER
This form is provided with: Contract Proposal Request for Proposal Invitation to Bid Grant Proposal
Have you or any of your partners, divisions, or any related business units previously performed work or provided goods to any State Agency/Department in the current or last fiscal year? Yes No If yes, identify below the State Agency/Department that received the goods or services, the type(s) of goods or services previously pro- vided, and the amount received for the provision of such goods or services.
STATE AGENCY/DEPARTMENT TYPE OF GOOD&/SERVICES AMOUNT RECEIVED
Have you or any of your partners, divisions, or any related business units previously applied and received any grants from any State Agency/Department in the current or last fiscal year? Yes No If yes, identify the State Agency/Department that awarded the grant, the date such grant was awarded, and the amount of the grant.
STATE AGENCY/DEPARTMENT DATE GRANT AWARDED AMOUNT OF GRANT
 List below the name(s) and address(es) of all public officials/public employees with whom you, members of your immediate family, or any of your employees have a family relationship and who may directly personally benefit financially from the proposed transaction. Identify the State Department/Agency for which the public officials/public employees work. (Attach additional sheets if necessary.)
NAME OF PUBLIC OFFICIAL/EMPLOYEE ADDRESS STATE DEPARTMENT/AGENCY

2. List below the name(s) and address(es) of all family members of public officials/public employees with whom you, members of your immediate family, or any of your employees have a family relationship and who may directly personally benefit financially from the proposed transaction. Identify the public officials/public employees and State Department/Agency for which the public officials/public employees work. (Attach additional sheets if necessary.)

NAME OF		NAME OF PUBLIC OFFICIAL	STATE DEPARTMENT/
FAMILY MEMBER	ADDRE88	PUBLIC EMPLOYEE	AGENCY WHERE EMPLOYED

If you identified individuals in items one and/or two above, describe in detail below the direct financial benefit to be gained by the public officials, public employees, and/or their family members as the result of the contract, proposal, request for proposal, invitation to bid, or grant proposal. (Attach additional sheets if necessary.)

Describe in detail below any indirect financial benefits to be gained by any public official, public employee, and/or family members of the public official or public employee as the result of the contract, proposal, request for proposal, invitation to bid, or grant proposal. (Attach additional sheets if necessary.)

List below the name(s) and address(es) of all paid consultants and/or lobbyists utilized to obtain the contract, proposal, request for proposal, invitation to bid, or grant proposal:

NAME OF PAID CONSULTANT/LOBBYIST

ADDRESS

By signing below, I certify under oath and penalty of perjury that all statements on or attached to this form are true and correct to the best of my knowledge. I further understand that a civil penalty of ten percent (10%) of the amount of the transaction, not to exceed \$10,000.00, is applied for knowingly providing incorrect or misleading information.

Signature	Date	
Notary's Signature	Date	Date Notary Expires

Article 3B of Title 41, Code of Alabama 1975 requires the disclosure statement to be completed and filed with all proposals, bids, contracts, or grant proposals to the State of Alabama in excess of \$5,000.

Revised: 09/2013

THIS PAGE MUST BE NOTARIZED